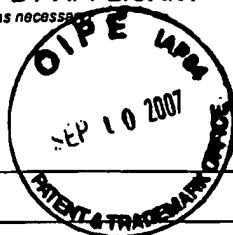


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**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

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Sheet 1 of 6

Complete if Known

Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	Vu, David

Attorney Docket No: 2447.001US2

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

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W		"Amended Assignment and Bill of Sale", <u>Guthrie Exhibit 2148, Board of Patent Appeals and Interferences (Interference No. 105.393), (January 12, 2007), 1 pg.</u>	
↑		"Chapter 6.3 - Rectangular Waveguide Cavities in: Microwave Engineering, Pozar, D. M., Editor (John Wiley & Sons, Inc.)", <u>Guthrie Exhibit 2143, Board of Patent Appeals and Interferences (Interference No. 105.393), 313-318</u>	
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		"CoorsTek Engineering Drawings", <u>Guthrie Exhibit 2142, Board of Patent Appeals and Interferences (Interference No. 105.393), (August 10, 2000), 6 pgs.</u>	
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		"Decision - On Rehearing - Bd. R. 125(c) (Paper 89)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105.393), (March 20, 2007), 13 pgs.</u>	
		Decision - Rehearing - Bd. R. 127(d) (Paper 94)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105.393), (April 18, 2007), 5 pgs.</u>	
		"Declaration of David Smoler", <u>Guthrie Exhibit 2136, Board of Patent Appeals and Interferences (Interference No. 105.393), (December 5, 2005), 17 pgs.</u>	
		"Declaration of Donald M. Wilson (Corrected)", <u>Espiau Exhibit 1106, Board of Patent Appeals and Interferences (Interference No. 105.393), (December 12, 2005), 3 pgs.</u>	
		"Declaration of Tim R. Russell", <u>Guthrie Exhibit 2145, Board of Patent Appeals and Interferences (Interference No. 105.393), (February 1, 2006), 3 pgs.</u>	
		"Draft of Charles Guthries' Expense Report", <u>Guthrie Exhibit 2192, Board of Patent Appeals and Interferences (Interference No. 105.393), (May 10, 2000), 2 pgs.</u>	
		"E-Mails between C. Guthrie and C. Lee", <u>Guthrie Exhibit 2167, Board of Patent Appeals and Interferences (Interference No. 105.393), (2000), 6 pgs.</u>	
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✓		"Engineering Sketches (faxed to Coorstek)", <u>Guthrie Exhibit 2137, Board of Patent Appeals and Interferences (Interference No. 105.393), (July 20, 2000), 2 pgs.</u>	
D		"Espiau Declaration of Janet Brant", <u>Espiau Exhibit 1118, Board of Patent Appeals and Interferences (Interference No. 105.393), (May 25, 2007), 3 pgs.</u>	

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DJ		"Espiau Declaration of Matthew A. Argenti", <u>Espiau Exhibit 1121, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 28, 2007),3 pgs.</u>	
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		"Espiau Declaration of W. Benjamin Glenn", <u>Espiau Exhibit 1119, Board of Patent Appeals and Interferences(Interference No. 105,393), (May 28, 2007),8 pgs.</u>	
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		"Espiau Opposition 6", <u>Guthrie, et al. v. Espiau, et al. (Interference No. 105,393), (May 29, 2007),31 pgs.</u>	
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		"Guthrie Declaration of Dennis R. Nunes", <u>Guthrie Exhibit 2199, Board of Patent Appeals and Interferences (Interference No. 105,393), 3 pgs.</u>	
		"Guthrie Declaration of Floyd Pothoven", <u>Guthrie Exhibit 2183, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 15, 2007),5 pgs.</u>	
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✓ DJ		"Guthrie Opposition 6 (Opposing Espiau Motion 6 to Request Rehearing)", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (April 3, 2007), 12 pgs.</u>	

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Application Number	10/771,788
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Group Art Unit	2821
Examiner Name	Vu, David

Sheet 3 of 6

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2		"Guthrie Priority Motion 7", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (June 15, 2007), 81 pgs.</u>	
↑		"Guthrie Second Declaration of Charles Guthrie", <u>Guthrie Exhibit 2180, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 14, 2007), 12 pgs.</u>	
		"Guthrie Second Declaration of David E. Smoler", <u>Guthrie Exhibit 2150, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 8 pgs.</u>	
		"Guthrie Third Declaration of Donald Wilson", <u>Guthrie Exhibit 2181, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 13, 2007), 22 pgs.</u>	
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		"Guthrie Third Declaration of Nicola Pisano", <u>Guthrie Exhibit 2189, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 23, 2007), 8 pgs.</u>	
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		"Manufacturing Quote from Coorstek", <u>Guthrie Exhibit 2138, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 27, 2000), 2 pgs.</u>	
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		"Photograph of Lighted Half-Wavelength", <u>Guthrie Exhibit 2178, Board of Patent Appeals and Interferences (Interference No. 105,393), (2002), 2 pgs.</u>	
		"Photographs of CoorsTek Full Wavelength Ceramic Waveguide", <u>Guthrie Exhibit 2162, Board of Patent Appeals and Interferences (Interference No. 105,393), 1 pg.</u>	
		"Smoler Voicemail Transcript", <u>Espiau Exhibit 1109, Board of Patent Appeals and Interferences (Interference No. 105,393), (November 21, 2005), 1 pg.</u>	
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		"Wilson Handwritten Notes", <u>Espiau Exhibit 1107, Board of Patent Appeals and Interferences (Interference No. 105,393), (November 7, 2005), 2 pgs.</u>	
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Group Art Unit	2821
Examiner Name	Vu, David

Sheet 4 of 6

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W		BENNETT, J. , "E-Mail to C. Guthrie Re: Kyocera Quote for Waveguide Samples", <u>Guthrie Exhibit 2176, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 10, 2000), 1 pg.</u>	
↑		BRANT, J. , "E-Mail to Tony McGettigan", <u>Espiau Exhibit 1104, Board of Patent Appeals and Interferences (Interference No. 105,393), (October 20, 2005), 1 pg.</u>	
		GUTHRIE, C. , "Collection of Waveguide Drawings", <u>Guthrie Exhibit 2169, Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 7 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawing - Ceramic Substrate (SMPL004)", <u>Guthrie Exhibit 2171, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 19, 2000), 1 pg.</u>	
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		GUTHRIE, C. , "D.R.I. Drawings - R. F. Connector Details (Versions 1 and 2)", <u>Guthrie Exhibit 2174, Board of Patent Appeals and Interferences (Interference No. 105,393), (2000), 2 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawings - Wave Guide Lamp Concept 5 (WGC005); Wave Guide Lamp Concept 6 (WGC 006); and Wave Guide Concept 7 (WGC 007)", <u>Guthrie Exhibit 2172, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 27, 2000), 3 pgs.</u>	
		GUTHRIE, C. , "D.R.I. Drawings - Wave Guide Lamp Concept 8 (WGC008); Wave Guide Lamp Concept 9 (WGC009); and Wave Guide Lamp Concept 10 (WGC010)", <u>Guthrie Exhibit 2173, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 3 pgs.</u>	
		GUTHRIE, C. , "E-Mail to E. Sandberg, et al. re: Meeting at ITW", <u>Guthrie Exhibit 2179, Board of Patent Appeals and Interferences (Interference No. 105,393), (March 23, 2000), 2 pgs.</u>	
✓		JOSHI, C. , "E-Mail to C. Guthrie", <u>Guthrie Exhibit 2177, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 13, 2000), 1 pg.</u>	
W		RUSSELL, T. , "E-Mail to N. Pisano (Guthrie's Counsel) Re: DRI Patent Application", <u>Guthrie Exhibit 2188, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 19, 2007), 2 pgs.</u>	

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Substitute Disclosure Statement Form (PTO-1449)

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↑		TURNER, D., "E-Mail to C. Guthrie re: Dielectric Constants from Trans Tech", <u>Guthrie Exhibit 2168, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 7, 2000), 1 pg.</u>	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie (attaching Lamp Plasma Schedule)", <u>Guthrie Exhibit 2197, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 3 pgs.</u>	
		TURNER, D., "E-Mail to D. Wilson and C. Guthrie re: Wavelength Project List (attaching Updated Contact List)", <u>Guthrie Exhibit 2159, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 6, 2000), 3 pgs.</u>	
		TURNER, D., et al., "E-Mail to D. Wilson, et al. Re: DRI Plasma Lamp - Updated Address List", <u>Guthrie Exhibit 2152, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 24, 2000), 3 pgs.</u>	
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		TURNER, D., "E-Mail to G. Prior, et al. Re: Action Item List", <u>Guthrie Exhibit 2157, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 28, 2000), 2 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: DRI Plasma Lamp Schedule Update", <u>Guthrie Exhibit 2156, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 28, 2000), 4 pgs.</u>	
		TURNER, D., "E-Mail to G. Prior, et al. Re: Proposal to Develop Plasma Lamp Exciter and Waveguide", <u>Guthrie Exhibit 2151, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 16, 2000), 8 pgs.</u>	
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D		TURNER, D., "E-Mail to W. Catlett re: Luxim Waveguide Status Update", <u>Guthrie Exhibit 2158, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 6, 2000), 3 pgs.</u>	
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DJ		"E-mail from Morgan to Pisano", Exhibit 2260, Guthrie v. Espiau, Patent Interference 105,393, (Oct. 8, 2007), 1 pg.	
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		"Katie Marie Nunes Death Certificate", Exhibit 2258, Guthrie v. Espiau, Patent Interference 105,393, (July 10, 2000), 1 pg.	
		"Kearney to Pisano Letter", Re: Guthrie v. Espiau, (Oct. 19, 2007), 12 pgs.	
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		First Named Inventor      Espiau, Frederick
		Group Art Unit              2821
		Examiner Name              Vu, David Hung
Sheet 1 of 1	Attorney Docket No: 2447.001US2	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
2		"Second Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2256 Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 19, 2007), 5 pgs	
h		"Guthrie Fourth Declaration of Charles Guthrie", <u>Guthrie Exhibit 2255, Board of Patent Appeals and Interferences(Interference No. 105,393)</u> , (Oct. 19, 2007), 4 pg.	

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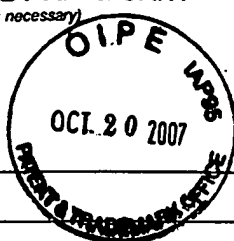


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Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	Vu, David Hung

Sheet 1 of 4

Attorney Docket No: 2447.001US2

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
RJ	WO-9828780 (Espiau Exhibit 1258 in Interference No. 105,393)	07/02/1998	Knox, Richard M., et al.	

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W		"60/337057 Application Filing Material", (Nov. 6, 2001), 8 pgs.	
↑		"Application Number 09/818092 - Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address", <u>Espiau Exhibit 1256, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Aug. 3, 2005), 3 pgs.	
		"Combination Diagram", <u>Espiau Exhibit 1270, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Jul. 8, 2000), 1 pg.	
		"Decision - Interlocutory Motions - Bd.R. 125(b)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 20, 2007), 3 pgs.	
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		"Deposition of Benjamin Glenn", <u>Espiau Exhibit 1260, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 18, 2007), 66 pgs.	
		"Deposition of Ellen Thotus", <u>Espiau Exhibit 1259, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 17, 2007), 42 pgs.	
		"Deposition of Penny Ortega", <u>Espiau Exhibit 1261, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 18, 2007), 24 pgs.	
		"Errata to the Transcript of the September 17, 2007 Deposition of Ellen Thotus", <u>Espiau Exhibit 1264, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 26, 2007), 3 pgs.	
		"Errata to the Transcript of the September 18, 2007 Deposition of Benjamin Glenn", <u>Espiau Exhibit 1263, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Sep. 27, 2007), 3 pgs.	
↓		"Espiau List of Exhibits", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393)</u> , (Oct. 11, 2007), 15 pgs.	

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dl		"Espiau Miscellaneous Motion 8 (to Exclude Exhibits 2240 and 2242, and to Strike Exhibit 2244 and Corresponding Portions of Guthrie Reply 7 and Guthrie Substitute Reply 7)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 11, 2007), 32 pgs.</u>	
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		"Espiau Second Declaration of F. Matthew Espiau", <u>Espiau Exhibit 1257, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 17, 2007), 5 pgs.</u>	
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		"Espiau Third Declaration of Brian P. Turner", <u>Espiau Exhibit 1262, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 25, 2007), 4 pgs.</u>	
		"Espiau's Objections to Guthrie's Exhibits Served with its Reply 7", <u>Espiau Exhibit 1273, Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 5, 2007), 12 pgs.</u>	
		"Faxing 2 page Application to Lease 646 University Ave.", <u>Espiau Exhibit 1255, Board of Patent Appeals and Interferences (Interference No. 105,393), To Vito Modugno From George Thotus, (Jul. 21, 2000), 1 pg.</u>	
		"Guthrie Declaration of James E. King, Esq.", <u>Guthrie Exhibit 2244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 27, 2007), 13 pgs.</u>	
		"Guthrie Declaration of Ray Paquette", <u>Guthrie Exhibit 2241, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 30, 2007), 22 pgs.</u>	
		"Guthrie Motion 8 (To Exclude Evidence)", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 10, 2007), 49 pgs.</u>	
		"Guthrie Reply 7", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 28, 2007), 176 pgs.</u>	
		"Guthrie Second Declaration of Joe Bennett", <u>Guthrie Exhibit 2240, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 24, 2007), 5 pgs.</u>	
✓		"Guthrie Sixth Declaration of Madhu S. Gupta PhD", <u>Guthrie Exhibit 2243, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 27, 2007), 24 pgs.</u>	
dl		"Guthrie Third Declaration of Charles Guthrie", <u>Guthrie Exhibit 2242, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 26, 2007), 8 pgs.</u>	

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Application Number	10/771,788
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Examiner Name	Vu, David Hung

Sheet 3 of 4

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DJ		"Guthrie's Objections to Espiau's Evidence", <u>Guthrie Exhibit 2250, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 14, 2007), 3 pgs.</u>	
↑		"Guthrie's Objections to Espiau's Exhibits 1255 and 1256", <u>Guthrie Exhibit 2252, Board of Patent Appeals and Interferences (Interference No. 105,393), (Sep. 24, 2007), 2 pgs.</u>	
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		"Guthrie's Objections to Espiau's Opposition 6 Evidence", <u>Guthrie Exhibit 2248, Board of Patent Appeals and Interferences (Interference No. 105,393), (Jun. 5, 2007), 13 pgs.</u>	
		"King Declaration E-Mail", <u>Espiau Exhibit 1272, Board of Patent Appeals and Interferences (Interference No. 105,393), From Robert Morgan to Nicola Pisano, (Oct. 1, 2007), 1 pg.</u>	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1267, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1268, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Photograph of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1269, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Picture of Facade of Building #644", <u>Espiau Exhibit 1265, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
		"Sketch of basement of 644 University Avenue, Los Gatos, California", <u>Espiau Exhibit 1266, Board of Patent Appeals and Interferences (Interference No. 105,393), (No date listed), 1 pg.</u>	
↓ DJ		"Sound Deposition Services, Inc. Transcript", <u>Guthrie Exhibit 2247, Board of Patent Appeals and Interferences (Interference No. 105,393), The transcript was derived from the video located at <a href="http://www.redherring.tv/luxim.htm">http://www.redherring.tv/luxim.htm</a>, (Sep. 11, 2007), 11 pgs.</u>	

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DJ		"Teleconference Transcript for Call before Judge James Moore", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 4, 2007), 22 pgs.</u>	
DJ		"Teleconference Transcript for Call before Judge Sally C. Medley", <u>Guthrie Exhibit 2245, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 1, 2007), 30 pgs.</u>	
DJ		"Teleconference Transcript for Call before Judge Sally C. Medley", <u>Guthrie v. Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Mar. 8, 2007), 27 pgs.</u>	
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	<b>Application Number</b>	10/771,788
	<b>Filing Date</b>	February 4, 2004
	<b>First Named Inventor</b>	Espiau, Frederick
	<b>Group Art Unit</b>	2821
	<b>Examiner Name</b>	David Hung Vu
Sheet 1 of 13		Attorney Docket No: 2447.001US2

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
D	US-2007/0075652A1, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2203	04/05/2007	Espiau, F. M., et al.	10/04/2006
2	US-6,664,842, Identified in Patent Interference No. 105,393 as Guthrie Exhibit 2211	12/16/2003	Pobanz, C. W.	12/28/2001
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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	Complete if Known	
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D		"A Ceramic Wave-Guide Lamp - From a Concept to Reality", <u>Espiau Exhibit 1208, Board of Patent Appeals and Interferences(Interference No. 105,393), (August 4, 2000), 12 pgs.</u>	
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		"Application Serial No. 11/083,557 (Atty. Ref. 2447.001US6) Notice of Allowance mailed 05-03-2007", 19 pgs	
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		"Application Serial No. 11/084,177 (Atty. Ref. 2447.001US3) Preliminary Amendment filed 07-15-2005", 4 pgs	
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		"Channel Microwave Quote No. 100102 re: Various Items", <u>Espiau Exhibit 1162, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 18, 200), 2 pgs.</u>	
		"Complaint for Damages and Injunctive and Other Relief", <u>Guthrie Exhibit 2229, Board of Patent Appeals and Interferences (Interference No. 105,393), (Oct. 23, 2001), 38 pgs.</u>	
		"Conference Call", <u>Guthrie Exhibit 2230, Board of Patent Appeals and Interferences (Interference No. 105,393), (Feb. 9, 2006), 25 pgs.</u>	
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		"Coorstek Quotation", <u>Espiau Exhibit 1197, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 2, 2000), 3 pgs.</u>	
		"Cross-Examination of Carl W., Pobanz", <u>Espiau Exhibit 1236, Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions, (Aug. 19, 2007), 68 pgs.</u>	
		"Cross-Examination of David Pozar", <u>Espiau Exhibit 1063, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 19, 2006), 196 pgs.</u>	
		"Cross-Examination of Matt Espiau (Videotaped)", <u>Espiau Exhibit 1239, Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions, (Aug. 17, 2007), 225 pgs</u>	
		"Declaration of Carl W. Pobanz", <u>Espiau Exhibit 1030, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 27, 2006), 7 pgs.</u>	
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		"Declaration of David Turner", <u>Espiau Exhibit 1034, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 27, 2006), 5 pgs.</u>	
		"Declaration of Ellen Thotus", <u>Espiau Exhibit 1242, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
2		"Declaration of Frederick Matthew Espiau", <u>Guthrie Exhibit 2227, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 9, 2000), 68 pgs.</u>	

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21		"Declaration of Frederick Matthew Espiau", <u>Espiau Exhibit 1168, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 29, 2007), 17 pgs.</u>	
1		"Declaration of Penny Ortega", <u>Espiau Exhibit 1244, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
		"Declaration of Regis C. Worley, Jr.", <u>Guthrie Exhibit 2234, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 20, 2007), 3 pgs.</u>	
		"Deposition (video transcript) of Chandrashekhar Joshi, M.D.", <u>Espiau Exhibit 1234, Board of Patent Appeals and Interferences (Interference No. 105,393), Merrill Legal Solutions, (Aug. 13, 2007), 152 pgs.</u>	
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Examiner Name	David Hung Vu

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Attorney Docket No: 2447.001US2

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2		"Dielectric Resonator Concept for Plasma Lamps", <u>Espiau Exhibit 1138, Board of Patent Appeals and Interferences (Interference No. 105,393), (April 20, 2000), 5 pgs.</u>	
h		"DRI - Tenco Team Plasma Lamp Business Model", <u>Espiau Exhibit 1145, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
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RJ		"Errata to the transcript of the August 9, 2007 of Floyd Pothoven", <u>Guthrie Exhibit 2235, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 29, 2007), 3 pgs.</u>	
↑		"Espiau Motion 7 for Priority", <u>Guthrie, et al. v. Espiau, et al., Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 94 pgs.</u>	
		"Espiau notice of deposition for cross examination and intent to vido record the deposition of Dennis Nunes", <u>Espiau Exhibit 1223, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 6, 2007), 3 pgs.</u>	
		"Espiau Opposition 7 (to Guthrie Priority Motion 7)", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 121 pgs.</u>	
		"Espiau Third Declaration of David B. Turner", <u>Espiau Exhibit 1233, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 21, 2007), 10 pgs.</u>	
		"Experiment 1: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1026, Board of Patent Appeals and Interferences (Interference No. 105,393), (Winter, 1995), 5 pgs.</u>	
		"Experiment 3: Cavity Resonator, School of Engineering and Applied Science", <u>Espiau Exhibit 1025, Board of Patent Appeals and Interferences (Interference No. 105,393), (October 24, 1990), 15 pgs.</u>	
		"Fifth Declaration of David M. Pozar", <u>Espiau Exhibit 1183, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 17 pgs.</u>	
		"Financial Ledger", <u>Espiau Exhibit 1241, Board of Patent Appeals and Interferences (Interference No. 105,393), (1999-2001), 3 pgs.</u>	
		"FWH of Provisional Application Serial No. 60/222,028 for Plasma Lamp", <u>Espiau Exhibit 1012, Board of Patent Appeals and Interferences (Interference No. 105,393), 28 pgs.</u>	
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		"Guthrie Exhibit List", <u>Espiau, Board of Patent Appeals and Interferences (Interference No. 105,393), (Aug. 31, 2007), 13 pgs.</u>	
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		"Handwitten Provisional Draft for An Integrated Lamp Waveguide Concept", <u>Espiau Exhibit 1031, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 30, 2007), 13 pgs.</u>	
✓		"Handwritten Notes re: Discussion with Wayne, Ingmar, David and Chan", <u>Espiau Exhibit 1207, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
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D		"In Re Digital Reflections, Inc. - Chapter 7 Bankruptcy", <u>Espiau Exhibit 1243, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 28, 2002), 57 pgs.</u>	
		"Invoice relating to DRI Plasma Lamp Project", <u>Exhibit 1219, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 6, 2000), 3 pgs.</u>	
		"IPLDWC Design I Drawings", <u>Espiau Exhibit 1180, Board of Patent Appeals and Interferences (Interference No. 105,393), (July 14, 2000), 2 pgs.</u>	
		"Luxim Corporation Project Summit", <u>Guthrie Exhibit 2201, Board of Patent Appeals and Interferences (Interference No. 105,393), (June 17, 2007), 20 pgs.</u>	
		"MasterCard Statement - TravelersBank", <u>Espiau Exhibit 1027, Board of Patent Appeals and Interferences (Interference No. 105,393), (April, 2000), 1 pg.</u>	
		"May 21, 2007 Hearing", <u>Guthrie Exhibit 2231, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 21, 2007), 33 pgs.</u>	
		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1213, Board of Patent Appeals and Interferences (Interference No. 105,393), (prior to July 30, 2007), 1 pg.</u>	
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		"Miscellaneous Handwritten Notes", <u>Espiau Exhibit 1153, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 3, 2000), 4 pgs.</u>	
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		"Notebook Pages from Yian Chang", <u>Espiau Exhibit 1126, Board of Patent Appeals and Interferences (Interference No. 105,393), (August, 2000), 57 pgs.</u>	
		"Notes", <u>Espiau Exhibit 1229, Board of Patent Appeals and Interferences (Interference No. 105,393), (May 14, 2002), 2 pgs.</u>	
		"Notes from Meeting with Joshi, Turner, Espiau regarding Review of Samples", <u>Espiau Exhibit 1209, Board of Patent Appeals and Interferences (Interference No. 105,393), (August 8, 2000), 2 pgs.</u>	
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↑		"Photo", Guthrie Exhibit 2207, Board of Patent Appeals and Interferences(Interference No. 105,393), (No date listed), 1 pg.	
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↑		BROUGHER, S. , "E-Mail to D. Turner re: Lamp Project Schedule", Espiau Exhibit 1146, Board of Patent Appeals and Interferences(Interference No. 105,393), (April 17, 2000), 1 pg.	
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Application Number	10/771,788
Filing Date	February 4, 2004
First Named Inventor	Espiau, Frederick
Group Art Unit	2821
Examiner Name	David Hung Vu

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Attorney Docket No: 2447.001US2

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

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